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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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MAY 24 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,431	12/21/2001	Richard C. Foss	2037.1002-003

CONFIRMATION NO. 8755

021005
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
530 VIRGINIA ROAD
P.O. BOX 9133
CONCORD, MA 01742-9133

FORMALITIES LETTER



OC000000007675546

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

A copy of this notice MUST be returned with the reply.

Adjustment date: 05/29/2002 SDENB0B1
05/28/2002 SDENB0B1 00000013 10032431
02 FC:105
03 FC:103

-130.00 OP
-216.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/28/2002 SDENB0B1 00000013 10032431

01 FC:101 740.00 OP
02 FC:105 130.00 OP
03 FC:103 216.00 OP

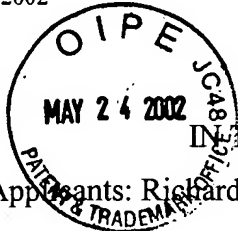
Adjustment date: 05/29/2002 SDENB0B1
05/20/2002 SDENB0B1 00000013 10032431
01 FC:101

-740.00 OP

740.00 OP
216.00 OP
130.00 OP

05/29/2002 SDENB0B1 00000013 10032431

01 FC:108
02 FC:110
03 FC:105



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard C. Foss, Peter B. Gillingham, Robert Harland, Masami Mitsuhashi and Atsushi Wada

Application No.: 10/032,431

Group: 2818

Filed: December 21, 2001

Examiner: Not assigned yet

For: Dynamic Random Access Memory Using Imperfect Isolating Transistors

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>5/20/02</u> Date	<u>Rachel Cohen</u> Signature
Rachel Cohen Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF CONTINUATION
OF REISSUE APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

Sir:

In reply to the Notice to File Missing Parts dated March 20, 2002, the following documents and fees are being submitted for filing in the captioned reissue application. A copy of the Notice is attached.

☒ **EXECUTED DECLARATION FOR CONTINUATION OF REISSUE PATENT APPLICATION**

(Separate transmittal letter and postcard not required)

☐ **POWER OF ATTORNEY DOCUMENT**

☐ **GRANTED BY INVENTOR(S)**

☐ **GRANTED BY ASSIGNEE, including Statement under 37 C.F.R. 3.73(b)**

(Separate transmittal letter and postcard not required)

☐ **PRELIMINARY AMENDMENT**

(Separate transmittal letter and postcard not required)

☒ **FILING FEE** - with Fee Transmittal for Reissue Patent Applications in duplicate

Fee calculations based on:

☒ Claims as originally filed

☐ Claims upon entry of the Preliminary Amendment filed [herewith] [with the application]

(Separate transmittal letter and postcard not required)

☒ **SURCHARGE** - surcharge fee of \$130

(Separate transmittal letter and postcard not required)

☒ **WRITTEN CONSENT OF ASSIGNEE w/3.73(b)**

(Separate transmittal letter and postcard required)

☐ **SUBSTITUTE DRAWINGS**

☐ Sheets of substitute drawings consisting of Figs. 1 - [] are enclosed

(Separate transmittal letter and postcard not required)

☐ **REQUEST FOR CORRECTED FILING RECEIPT** - Filed concurrently and is attached

(Separate transmittal letter and postcard required)

☐ **STATEMENT CLAIMING SMALL ENTITY STATUS**

☐ Was filed on []

☐ Is enclosed herewith

(Separate transmittal letter and postcard not required)

☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$[] which were paid on [] to be deposited in Deposit Account No. 08-0380

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1086. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By G M Bluhm
Gerald M. Bluhm
Registration No.: 44,035
Tel.: (978) 341-0036
Fax: (978) 341-0136

Concord, Massachusetts 01742-9133

Date: 5/20/02